

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Safety and Enforcement Division
Rail Transit Safety Branch

Resolution ST-195
December 15, 2016

R E S O L U T I O N

RESOLUTION ST-195 GRANTING APPROVAL ON FINAL
REPORT ON THE 2015 TRIENNIAL SAFETY REVIEW OF SAN
FRANCISCO MUNICIPAL TRANSPORTATION AGENCY

SUMMARY

This Resolution grants approval of the Safety and Enforcement Division final report titled, "2015 Triennial Safety Review of San Francisco Municipal Transportation Agency," dated November 10, 2016. The San Francisco Municipal Transportation Agency is ordered to implement the recommendations contained in the report and to provide monthly progress reports.

BACKGROUND

Commission General Order No. 164-D, "Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems" requires staff to conduct on-site safety reviews of transit agencies operating Rail Fixed Guideway Systems at least once every three years.

The Rail Transit Safety Branch (RTSB) staff conducted an on-site safety review of the San Francisco Municipal Transportation Agency (SFMTA) beginning October 19, 2015 and concluding October 30, 2015. Staff conducted a post-review exit conference with SFMTA management on March 1, 2016.

The methods used to conduct the safety review included:

- a. Interviews with SFMTA employees at all levels from rank and file through SFMTA management;
- b. Reviews of design, construction, procurement, testing, training, operation and maintenance programs;

- c. Reviews of records and procedures;
- d. Observation of operations and maintenance activities; and
- e. Inspections of equipment and facilities.

A full description of the safety review, including the scope, results, and recommendations, is contained in the final safety review report identified in this resolution as Attachment A.

The safety review results show SFMTA is for the most part in compliance with its System Safety Program Plan; however, some program areas of non-compliance were identified during the review. These non-compliant items along with recommendations for corrective actions are described, where applicable, in the Findings/Comments/Recommendations section of each checklist and in the body of the final report. A summary of recommendations requiring corrective action is contained in Appendix C of the report.

Staff sent SFMTA a draft safety review report for a 30-day review and comment period on September 26, 2016. SFMTA's System Safety Manager's email with an attached spreadsheet containing SFMTA's comments for the draft report dated November 1, 2016, requested clarification and revisions for a few findings and recommendations, confirmed the safety report's factual accuracy, and accepted the remaining recommendations.

DISCUSSION

The final report, "2015 Triennial Safety Review of San Francisco Municipal Transportation Agency," dated November 10, 2016, includes Attachment A which identifies staff findings and recommendations.

SFMTA has stated to staff that they either have active corrective action plans in place or are in the process of developing the corrective action plans for the areas of non-compliance identified in the report. SFMTA will provide regular monthly status updates of their corrective actions until completion.

The Commission Safety and Enforcement Division (SED) recommends that the Commission approve the final safety review report titled, "2015 Triennial Safety Review of San Francisco Municipal Transportation Agency," dated November 10, 2016. SED also recommends the Commission order SFMTA to:

- Submit a report to the RTSB, which identifies the corrective action status for safety program areas of non-compliance identified by staff;
- Submit plans and schedules for implementing and completing the recommended corrective actions contained in the report;
- Implement the recommendations in accordance with the plans and schedules submitted; and
- Provide the RTSB with monthly progress reports on the status of the corrective actions until they are completed and implemented.

NOTICE

On November 16, 2016, staff's request for approval of the final safety review report titled, "2015 Triennial Safety Review of San Francisco Municipal Transportation Agency," dated November 10, 2016, was published on the Commission's Daily Calendar.

COMMENTS

The draft resolution of the SED in this matter was mailed in accordance with Section 311 of the Public Utilities Code and Rule 14.2(c) of the Commission's Rules of Practice and Procedure. _____ comments were received.

FINDINGS

1. The RTSB staff conducted an on-site safety review of SFMTA beginning October 19, 2015 and concluding October 30, 2015. Staff conducted a post-review exit conference with SFMTA management on March 1, 2016.
2. The safety review results show SFMTA is for the most part in compliance with its System Safety Program Plan; however, some program areas of non-compliance were identified during the review. These non-compliant items along with recommendations for corrective actions are described, where applicable, in the Findings/Comments/Recommendations section of each checklist and in the body of the final report.
3. SFMTA has stated to staff that they either have active corrective action plans in place or are in the process of developing the corrective action plans for the areas of non-compliance identified in the report.

THEREFORE, IT IS ORDERED THAT:

1. The Safety and Enforcement Division's request for approval of the final safety review report titled, "2015 Triennial Safety Review of San Francisco Municipal Transportation Agency," dated November 10, 2016, is granted.
2. The San Francisco Municipal Transportation Agency shall submit to the Rail Transit Safety Branch plans and schedules for implementing all recommended corrective actions contained in the final safety review report. Those plans and schedules shall be submitted no later than 45 days from the effective date of this resolution.
3. The San Francisco Municipal Transportation Agency shall complete and implement all recommended corrective actions contained in the report, in accordance with the plans and schedules submitted to the Rail Transit Safety Branch.
4. The San Francisco Municipal Transportation Agency shall prepare and provide monthly status reports, beginning on February 1, 2017, to the Rail Transit Safety Branch. The reports shall contain detailed information on the implementation of all remaining recommended corrective actions contained in the final safety review report. Monthly status reports shall be provided until all recommended corrective actions are implemented and completed.
5. This Resolution is effective today.

I certify that the foregoing resolution was duly introduced, passed and adopted at a conference of the Public Utilities Commission of the State of California held on December 15, 2016; the following Commissioners voting favorably thereon:

TIMOTHY J. SULLIVAN
Executive Director

ATTACHMENT A